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PTO/SB/21 (04-04)

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

		Application Number	10/773,950
		Filing Date	February 6, 2004
		First Named Inventor	Takuo Tamura
		Art Unit	2818
		Examiner Name	Dao H. Nguyen
Total Number of Pages in This Submission		Attorney Docket Number	16869S-029220US

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	USPTO form SB08A & B (two pages), copies of 6 cited foreign patent and non-patent references, copy of European Search Report for EP01305976, Return Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Townsend and Townsend and Crew LLP	Reg. No. 37,478
Signature		
Date		

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Roger Hylton		
Signature		Date	9/30/04

60319793 v1



PATENT
Attorney Docket No.: 16869S-029220US
Client Reference No.: E6030-05CL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Takuo Tamura et al.

Application No.: 10/773,950

Filed: February 6, 2004

For: THIN FILM TRANSISTOR AND
DISPLAY USING THE SAME (AS
AMENDED)

Examiner: Dao H. Nguyen

Art Unit: 2818

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the foreign patent and non-patent references are enclosed.

Also enclosed is a copy of the European Search Report for the corresponding European application EP01305976 which cites the listed references. Examiner please note that cited reference 1, U.S. Pat. No. 6,190,949 and cited reference 7, Japanese laid open patent application publication JP10-41234, both claims priority to the same Japanese patent application, JP08-150306 filed May 22, 1996.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

CERTIFICATION

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



George B. F. Yee
Reg. No. 37,478

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/773,950	
			Filing Date	February 6, 2004	
			First Named Inventor	Takuo Tamura	
			Art Unit	2818	
Examiner Name	Dao H. Nguyen				
Sheet	1	of	2	Attorney Docket Number	16869S-029220US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
	1	US-6,190,949		02-20-2001	Noguchi et al.
	2	US-6,150,203		11-21-2000	Yamazaki et al.
	3	US-5,981,974		11-09-1999	Makita
	4	US-5,904,550		05-18-1999	Yamaguchi
	5	US-5,882,960		03-16-1999	Zhang et al.

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)		
	6	JP	11-195608	A	07-21-1999	Hitachi Ltd.
	7	JP	10-41234	A	02-13-1998	Sony Corp.
	8	EP	0598394	A2	05-25-1994	Tokyo Electron Ltd.

Examiner Signature	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

60319678 v1



Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet	2	of	2	<i>Complete if Known</i>
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Application Number	10/773,950
Filing Date	February 6, 2004
First Named Inventor	Takuo Tamura
Art Unit	2818
Examiner Name	Dao H. Nguyen

Attorney Docket Number 16869S-029220US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	9	KURIYAMA et al. "Lateral Grain Growth of Poly-Si Films with a Specific Orientation by an Excimer Laser Annealing Method," Japanese Journal of Applied Physics December 1993, volume 32, pages 6190-6195 (1993).	
	10	KURIYAMA "Excimer laser crystallization of silicon films for AMLCDs," Proceedings of Second International Workshop on Active Matrix Liquid Crystal Displays (AMLCDs '95) September 25-26 1995 Bethlehem, PA (1995).	
	11	KURIYAMA et al. "Lateral Grain Growth in the Excimer Laser Crystallization of Poly-Si," Proceedings of the Materials Research Society Symposium November 1993, volume 321, pages 657-662 (1993).	

Examiner Signature	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.